

# **PATENT**

# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

SUSAN M. ABERNATHY ET AL.

Serial No.: 10/743,932

**GROUP ART UNIT:** 

Filed: December 23, 2003

**EXAMINER:** 

For: FINISHED LUBRICANT COMPRISING LUBRICATING BASE OIL WITH HIGH MONOCYPLOPARAFFINS AND LOW MULTICYCLOPARAFFINS

> P. O. Box 6006 San Ramon, CA 94583-0806

### NOTICE OF NEW REFERENCES CITED

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

Pursuant to 37 CFR 1.105 and MPEP 707.05(b), the new references listed on attached PTO/SB/08a (05-03) are brought to the Examiner's attention for consideration in the examination of the above-identified application.

Since this supplemental information disclosure statement is being filed before the first office action on the merits pursuant to 37 C.F.R. Section 1.97(b)(3), no fee under C.F.R. Section 1.17(p) should be required. However, should a fee be required, the Commissioner is hereby authorized to charge any fees to Deposit Account Number 03-1620.

CERTIFICATE OF MAILING (37 C.F.R. § 1.8a)

I hereby certify that I have a reasonable basis for believing that this correspondence (along with any paper referred to as being attached or enclosed) will be deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450

on <u>Sept. 24, 2004</u>

Diana K. Ponce

New reference No. 3 is a recently published PCT patent application, WO2004033606A1. Reference No. 4 is the "Product Brochure of Shell MDS (Malaysia) Sdn Bhd" dated May 1995. Copies of these two new references are enclosed. Applicants request that the Examiner review the new references and initial form PTO/SB/08a (05-03). This communication also provides pertinent features of the second new reference.

# REMARKS

#### Pertinent Features of Second Newly Cited Reference

On the eighth page of Product Brochure of Shell MDS (Malaysia) Sdn Bhd the Shell MDS waxy raffinate is referred to. The table refers to "dewaxed oil" having a VI of 148 and a kinematic viscosity at 100°C of 5.15 mm²/s. The applicants have in their possession a sample identified a Shell MDS waxy raffinate dating from July 1996. This material was obtained from Shell as a normal commercially available product. A sample of the 1996 Shell MDS waxy raffinate was subjected to a solvent dewaxing procedure in our laboratory. Properties of this dewaxed oil are set forth in the following table. This data is the result of applicants' laboratory solvent dewaxing and analysis of the sample. Properties of this dewaxed oil were not previously available to the public.

Property of Dewaxed Oil	Value
Viscosity Index	154
Kinematic Viscosity at 100°C	5.146
Aromatics, Wt%	0.0667
Pour Point, °C	-8
FIMS, Wt% of Molecules	
Paraffins	81.9
Monocycloparaffins	18.1
Multicycloparaffins	0.0
Total	100.0
Noack Volatility	7.66

Respectfully submitted,

Alan W. Klaassen Agent for Applicants

Reg. No. 35,220 (510) 242-3761

AWK:SMA:dkp Enclosures September 24, 2004

PTO/SB/08a (05-03)
Approved for use through 04/30/2003. OMB 0651-0031
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitut	te for form 1449A/PTC	)		Complete if Known			
6/				Application Number	10/743,932		
anto	DRMATION	DIS	CLOSURE	Filing Date	December 23, 2003		
ATE	TEMENT B	ΥΑ	PPLICANT	First Named Inventor	Susan M. Abernathy		
7				Art Unit			
	(use as many she	ets as	necessary)	Examiner Name			
Sheet	1	of	1	Attorney Docket Number	G-0135B		

U.S. PATENT DOCUMENTS					
Evaminer	Examiner Cite	Document Number	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number - Kind Code <sup>2</sup> (if known)	MM-DD-YYYY	Cited Document	
	1	US-2004/0108249	06-10-2004	Cody et al.	
	2	US- 2004/0108248	06-10-2004	Cody et al.	
		US-			
		US-			

FOREIGN PATENT DOCUMENTS						
<b>5</b>	Cite	Foreign Patent Document		Name of Patentee or	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
Examiner Initials*	No.1	Country Code <sup>3</sup> - Number <sup>4</sup> - Kind Code <sup>5</sup> ( <i>if known</i> )	Publication Date MM-DD-YYYY	Applicant of Cited Document		
	3	WO2004033606	04-22-2004	ExxonMobil		

OTHER PRIOR ART NON PATENT LITERATURE DOCUMENTS					
Examiner Initials *	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T²		
	4	"Product Brochure of Shell MDS (Malaysia) Sdn Bhd" dated May 1995			
		·			

Examiner Signature	Date Considered	

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. 1 Applicant's unique citation designation number (optional). 2 See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. 3 Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). 4 For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. 5 Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. 6 Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file

(and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.